



2867
#5
BT
11-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rajagopalan Srinivasan et al.
Serial No.: 10/008,552
Filing Date: 11/13/2001
Title: METHOD AND APPARATUS FOR
MANAGING PROCESS TRANSITIONS
Group Art Unit: 2857
Attorney's Docket No.: NUS-14

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

U.S. Patents

- (1) U.S. Patent No. 4,678,622 issued 07/07/87 to Rowe et al.
- (2) U.S. Patent No. 5,023,045 issued 06/11/91 to Watanabe et al.
- (3) U.S. Patent No. 5,070,468 issued 12/03/91 to Niinomi et al.
- (4) U.S. Patent No. 5,099,436 issued 03/24/92 to McCown et al.
- (5) U.S. Patent No. 5,392,320 issued 02/21/95 to Chao
- (6) U.S. Patent No. 5,511,004 issued 04/23/96 to Dubost et al.

A copy of the document, which is listed on the accompanying Form PTO-1449 (one page), is enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (one page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

NUS-14

RECEIVED
NOV - 6 2002
TC 2800 MAIL ROOM

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL,
POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON:

OCTOBER 31, 2002

(DATE OF DEPOSIT)

JAMES A. SHERIDAN

(NAME OF ATTORNEY)

James A. Sheridan 10/31/02

(SIGNATURE)

OCTOBER 31, 2002

(DATE OF SIGNATURE)

Respectfully submitted,

James A. Sheridan 10/31/02

James A. Sheridan
Registration No. 43,114
Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02154
Tel. (781) 290-0060

PS/NUS14.IDS

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.